| UNITED STATES DISTRICT COURT<br>SOUTHERN DISTRICT OF NEW YORK   | V                             |
|---|-------------------------------|
| SECURITIES INVESTOR PROTECTION CORPORATION,   | : SIPA LIQUIDATION            |
| Plaintiff-Applicant,  | . No. 08-01789 (BRL)          |
| V.  | ·<br>:                        |
| BERNARD L. MADOFF INVESTMENT SECURITIES, LLC,   | :<br>:<br>:                   |
| Defendant.  | ·<br>:                        |
| In re:  | :                             |
| BERNARD L. MADOFF,  | : Adv. Pro. No. 10-05383(SMB) |
| Debtor.   | :<br>:<br>V                   |
| IRVING H. PICARD, Trustee for the Liquidation Of Bernard L. Madoff Investment Securities LLC  | :<br>:                        |
| Plaintiff,  | :                             |
| V.  | :                             |
| STANLEY SHAPIRO, individually, as general partner of S&R Investment Co., as trustee for LAD Trust, as trustee for David Shapiro 1989 Trust, as amended, and as trustee for Leslie Shapiro 1985 Trust, as amended, | :<br>:<br>:<br>:              |
| RENEE SHAPIRO, individually, as general partner of S&R Investment Co., as trustee for LAD Trust, as trustee for David Shapiro 1989 Trust, as amended, and as trustee for Leslie Shapiro 1985 Trust, as amended,   | :<br>:<br>:<br>:              |
| S&R INVESTMENT CO.,   | :<br>:                        |
| LAD TRUST,  | :                             |
| DAVID SHAPIRO, individually and as trustee for Trust F/B/O [W.P.S.] & [J.G.S.],   | :<br>:                        |

RACHEL SHAPIRO,

DAVID SHAPIRO 1989 TRUST, as amended,

TRUST F/B/O [W.P.S] & [J.G.S.],

LESLIE SHAPIRO CITRON,

LESLIE SHAPIRO 1985 TRUST, as amended,

TRUST F/B/O [A.J.C.], [K.F.C.], &

[L.C.C.], as amended, and

KENNETH CITRON, individually and as trustee
For Trust F/B/O [A.J.C.], [K.F.C.],

[L.C.C.], as amended,

Defendants.

## DECLARATION OF BARRY R. LAX IN SUPPORT OF DEFENDANTS' MOTION TO DISMISS THE TRUSTEE'S SECOND AMENDED COMPLAINT

- I, Barry R. Lax, declare pursuant to 28 U.S.C. § 1746, that the following is true:
- 1. I am a member of the Bar of this Court and a Partner at the firm of Lax & Neville LLP, attorneys for Defendant Stanley Shapiro, individually, and as the executor for the estate of Renee Shapiro.
- 2. Attached hereto as Exhibit A is a true and complete copy of the Subpoena, dated September 11, 2018, the Trustee served on non-party JPMorgan Chase Bank, N.A..
- 3. Attached hereto as Exhibit B is a true and complete copy of the Responses and Objections of Non-Party JPMorgan Chase Bank, N.A. to Plaintiff's Subpoena for Production of Documents dated September 25, 2018.

I declare under penalty of perjury that the foregoing is true and correct.

Dated: New York, New York October 15, 2018

> /s/ Barry R. Lax Barry R. Lax, Esq.